



## **Brian C. Bowman**

### **Senior Counsel**

Goosmann Law Firm

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Senior Counsel Brian Bowman is a seasoned banking, finance, and regulatory compliance attorney with extensive experience in both the public and private sectors. Over the course of his career, he has provided legal counsel, developed risk management strategies, and led compliance initiatives for major banks and financial institutions. Brian holds a Juris Doctor from Creighton University School of Law and a Bachelor of Arts in Political Science and French from St. John's University. Before joining Goosmann Law Firm, Brian served as Vice President and Compliance Officer at Republic Bank, where he managed compliance with federal and state regulations, conducted risk assessments, and worked closely with the Compliance Department to implement regulatory-driven updates. Brian's legal career also includes roles in litigation, fraud investigation, and legal oversight, representing clients in medical malpractice, workers' compensation, and contract disputes. In addition to his private sector work, Brian served for 23 years as a Judge Advocate in the U.S. Air Force and Iowa Air National Guard retiring at the rank of Colonel. During his military career Brian litigated over 40 trials and provided legal oversight on government contracting and cyber law. He led legal teams on significant projects, including military contracting efforts overseas. With a wealth of experience in compliance, risk management, and banking regulations, he is a go-to advisor for financial institutions and clients looking to confidently navigate the ever-evolving landscape of banking law and safeguard their business operations.

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### **Education:**

Creighton University, School of Law, Omaha, NE

- Juris Doctorate

St. John's University, Collegeville, MN

- Bachelor of Arts in Political Science and French

### **Bar Admissions:**

State: Nebraska, Minnesota, South Dakota, & Iowa

### **Experience:**

Goosmann Law Firm, Omaha, NE

**Senior Counsel, Mar. 2025-Current**

- Advises financial institutions on regulatory compliance and risk management to ensure adherence to federal and state banking laws, fintech regulations, and consumer lending requirements.
- Provides strategic legal counsel on corporate compliance and financial regulations, leveraging extensive experience in banking, fintech, and risk assessment.
- Supports clients in navigating complex compliance challenges, including regulatory audits, policy development, and risk mitigation strategies.

Republic Bank, Omaha, NE

**Vice President, Compliance Officer, Oct. 2023 – Mar. 2025**

- Regulatory Compliance & Risk Management: Led business unit oversight for compliance with federal and state regulations, collaborating with the Bank's Compliance Department to meet regulatory standards and expectations.
- Policy Development & Change Management: Developed policies, procedures, and training to align with regulatory requirements, tracked legislative changes, and supported implementation of regulatory-driven product updates.
- Strategic Compliance Advisory: Provided compliance feedback on new and existing products, conducted risk assessments, and ensured effective monitoring of regulatory risks while advising management on emerging legal and compliance trends.

Goal Solutions, Omaha, NE

**Vice President, Director of Compliance, Oct. 2022 – Sep. 2023**

- Risk & Compliance Leadership: Led a team of six compliance analysts, overseeing regulatory change management, complaint resolution, and compliance oversight for loan servicing, debt collection, and collections management.
- Regulatory Compliance & Risk Oversight: Assisted the Chief Compliance Officer in implementing the compliance management system and operational risk framework, ensuring adherence to state and federal laws across student loans, solar loans, credit cards, and personal loans.
- Regulatory Engagement & Issue Resolution: Managed regulatory interactions, consumer complaint processes, and legal investigations, collaborating with business units and outside counsel to address compliance risks and enhance operational controls.

Wells Fargo, N.A., Omaha, NE

**Vice President, Sr. Operational Risk Consultant, May 2018 – Oct. 2022**

- Regulatory Compliance & Risk Management: Managed first-line compliance defense for Educational Financial Services and Personal Lines and Loans, ensuring alignment with federal and state regulations, including the Military Lending Act (MLA) and Servicemembers Civil Relief Act (SCRA).
- Regulatory Change & Risk Assessment: Led regulatory change management efforts, conducted detailed risk assessments, and provided senior management with data-driven compliance recommendations for service-related issues, customer fees, and systematic adjustments.
- Strategic Compliance Advisory: Partnered with stakeholders to assess business risks, provided credible challenges to process controls, and developed control plans for loan portfolio divestment in accordance with regulatory requirements.

Bank of the West / BNP Paribas, Omaha, NE

**Vice President, Sr. Compliance Officer, Feb. 2016 – May 2018**

- Regulatory Compliance & Marketing Oversight: Managed compliance reviews for employee incentive plans and marketing materials across deposit, mortgage, Wealth Management, credit card, HELOC, and investment products, ensuring adherence to UDAAP, Regulation Z, FINRA, and Fair Lending laws.

- Regulatory Response & Risk Management: Prepared responses for state and federal regulatory inquiries, collaborated with Audit and Compliance teams on internal testing and remediation, and monitored regulatory actions to guide business practice adjustments.
- Stakeholder Engagement & Compliance Leadership: Led the monthly Responsible Banking Forum, advised business units on regulatory developments, and addressed customer complaints by coordinating responses with relevant departments.

First National Bank of Omaha, Omaha, NE

**Director, Corporate Compliance, Feb. 2015 – Feb. 2016**

- Regulatory Marketing Compliance Leadership: Recruited by the Chief Risk Officer to establish and manage a new program for regulatory review of marketing collateral, ensuring compliance with federal banking laws and regulations.
- Regulatory Examination & Risk Management: Led a team responsible for reviewing marketing solicitations, prepared regulatory examination responses, and coordinated document collection for CFPB, OCC, and FDIC inquiries.
- Training & Compliance Advisory: Conducted training for business units on key regulations, including UDAAP, BSA/AML, CRA, FINRA, FCRA, and SCRA, to ensure adherence to legal and regulatory requirements.

**Assistant General Counsel, Oct. 2012 – Feb. 2015**

- Credit Card Regulatory Compliance & Contracts: Reviewed credit card partnership agreements and marketing materials to ensure compliance with Regulation P, Regulation Z, and UDAAP, while drafting and negotiating co-branding contracts.
- Regulatory Advisory & Training: Monitored and interpreted new regulations, provided legal opinions on compliance matters, and trained employees on regulatory changes, including Payment Security Industry Standards.
- Regulatory Reporting & Portfolio Management: Summarized CFPB and FDIC Consent Orders for upper management and assisted in credit card and loan portfolio acquisitions, including regulatory coordination.

Fidelity National Title Group, Omaha, NE

**AVP, Fraud Review Counsel, Jan. 2009 – Oct. 2012**

- Fraud Investigation & Regulatory Compliance: Investigated fraud cases, assisted in civil and criminal prosecutions, and served as the primary liaison with state insurance departments and federal regulatory agencies.
- Legal Advisory & Risk Management: Reviewed regulatory changes, trained company personnel on compliance requirements, and delivered presentations to CEOs and Board of Directors on key regulatory issues.
- Litigation & Contract Oversight: Managed complex litigation, directed outside counsel on contract and real estate disputes, and oversaw negotiations with institutional lenders and servicing companies.

Dyer Law, PC, LLO, Omaha, NE

**Associate Attorney, Jan. 2008 – Jan. 2009**

- Litigation & Trial Advocacy: Represented clients in medical malpractice, workers' compensation, personal injury, criminal defense, real estate, and employment law cases, handling all aspects of litigation.
- Settlement Negotiations & Strategy: Developed and executed negotiation strategies with insurance companies and opposing counsel to secure favorable outcomes for clients.
- Expert Witness & Case Preparation: Conducted depositions of expert witnesses and participated in case strategy development to strengthen client positions in litigation.

U.S. Air Force, Offutt AFB, Kadena AB, and Bagram AF

**Judge Advocate / Colonel, Sep. 2001 – Jan. 2025**

- **Litigation & Military Legal Expertise:** Litigated over 40 trials as both a prosecutor and defense counsel, served as a Special Assistant U.S. Attorney, and advised military commanders on Department of Defense, National Guard, and state regulations.
- **Cyber & Government Contracting Law:** Provided legal oversight on cyber law and compliance with federal acquisition regulations, including FAR, DFARS, and the Small Business Act, while managing contracting issues for military projects, including a runway construction at Bagram Airfield, Afghanistan.
- **Legal Leadership & Team Management:** Led and supervised legal teams across two offices, overseeing six attorneys and five paralegals, with prior experience managing up to ten attorneys, fifteen paralegals, and two court reporters.